

1/22/03

2878

Patent  
Case No.: 57428US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: BIYIKLI, LEVENT  
Application No.: 10/036637 Group Art Unit: 2878  
Filed: December 31, 2001 Examiner: Patrick J. Lee  
Title: POLARIZATION TRANSFORMER AND POLARIZATION MODE DISPERSION  
COMPENSATOR

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
9-16-03	
Date	Signed by: Tom Sanders

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449 citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

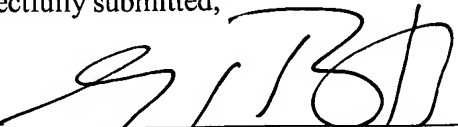
Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

9/16/03  
Date

By:   
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Office of Intellectual Property Counsel  
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Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets if necessary)

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Application Number	10/036637
Filing Date	December 31, 2001
First Named Inventor	Levent Biyikli
Art Unit	2878
Examiner Name	Patrick J. Lee
Attorney Case Number	57428US002

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
	A1	US- 3,558,215	01-26-1971	De Lang, et al	
	A2	US- 3,915,553	10-28-1975	Adams et al	
	A3	US- 4,541,691	09-17-1985	Buzak	
	A4	US- 5,347,382	09-13-1994	Rumbaugh	
	A5	US- 5,473,465	12-05-1995	Ye	
	A6	US- 5,528,393	06-18-1996	Sharp et al	
	A7	US- 5,561,726	10-01-1996	Yao	
	A8	US- 6,046,786	04-04-2000	Sharp et al	
	A9	US-			
	A10	US-			
	A11	US-			

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-Kind Code (if known)				
	B1	DE	198 39 308 A1	03-09-2000			
	B2	EP	0 393 967 A2	10-24-1990			
	B3	EP	0 663 604 A1	07-19-1995			
	B4	WO	00/49438 A1	08-24-2000			
	B5	WO	01/02799 A1	01-11-2001			
	B6	WO	01/67167 A2	09-13-2001			
	B7						

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.